Inventory for FOIA Request 2014-0335-F

Records Created by or Sent to Susan Dudley Related to Securities Regulation, the SEC, and Market Regulation

Extent
6 folders, approximately 310 pages / 1338 assets

Access
Collection is open to all researchers. Access to George W. Bush Presidential Records is governed by the Presidential Records Act (PRA) (44 USC 2201) and the Freedom of Information Act (FOIA) (5 USC 552, as amended) and therefore records may be restricted in whole or in part in accordance with legal exemptions.

Copyright
Documents in this collection that were prepared by officials of the United States government as part of their official duties are in the public domain. Researchers are advised to consult the copyright law of the United States (Title 17, USC) which governs the making of photocopies or other reproductions of copyrighted material.

Provenance
Official records of George W. Bush’s presidency are housed at the George W. Bush Presidential Library and administered by the National Archives and Records Administration (NARA) under the provisions of the Presidential Records Act (PRA).

Processing by
Staff Archivists, 2016. Previously restricted materials are added as they are released. Last Modified: 07/22/2020

Scope and Content
The materials in FOIA 2014-0335-F are a selective, not necessarily all inclusive, body of documents responsive to the topic of the FOIA. Researchers should consult the archivist about related materials.

FOIA 2014-0335-F requested materials related to records created by or sent to Susan Dudley regarding securities regulation, the SEC, and market regulation.

This FOIA primarily contains schedules, briefing papers, a guest list, memoranda, forms, resumes, internet printouts, a press release, and court history records. Briefing papers cover events such as a photo opportunity and remarks to the 2008 NCAA men’s basketball champions, a picnic for political appointees, and a personnel meeting. Memoranda present include personnel selections with President George W. Bush’s approvals for various positions. The electronic
records in this FOIA contain primarily emails from media contacts, emails setting up meetings, compilations of pending regulatory reports, transcriptions of Congressional sessions, correspondence from other government agencies, journal articles, and news articles.

**System of Arrangement**
The materials in FOIA 2014-0335-F are a systematic body of documents responsive to the topic of the FOIA. Researchers should consult an archivist about related materials.

Documents responsive to this FOIA were found in these collection areas—White House Office of Records Management (WHORM) Subject Files, Staff Member Office Files, and George W. Bush Presidential Electronic Records.

Staff Member Office Files are maintained at the folder level by staff members within their individual offices and document all levels of administration activity.

Staff Member Office Files are processed at the folder level, that is, individual documents are not selected and removed from a folder for processing. While this method maintains folder integrity, it frequently results in the incidental processing of documents that are not wholly responsive to the subject area.

WHORM Subject Files are processed at the document level.

The WHORM Subject File compiled by the White House Office of Records Management is comprised of a series of documents assigned a letter/number combination and filed in a subject category. A complete listing of the subject categories including a detailed description of each category is available in our research room and on our website at www.georgewbushlibrary.smu.edu

The Executive Office of the President (EOP) instance of the Electronic Records Archive (ERA) contains electronic records created or received by President George W. Bush. These records are stored in Search and Access Sets (SAS) that represent their originating computer program, such as Exchange Email or the Worker and Visitor Entry System (WAVES). In addition to records captured from the White House’s electronic systems, EOP-ERA also contains records from the home and shared drives used by White House staff.

The following is a list electronic search results lists (SRLs) folders processed in response to FOIA 2014-0335-F:

**George W. Bush Electronic Records**
Exchange 1 contains 168 assets.
Exchange 2 contains 1167 assets.

**George W. Bush Textual Records**

**Records Management, White House Office of**
Subject Files – FG001-07 (Briefing Papers)
06/03/2008 [761274]
11/24/2008 [775598]
Subject Files – FG006-30 (Deputy Chief of Staff for Policy, Office Files)
  733232
Subject Files – FG143 (Federal Reserve System, Board of Governors)
  731694
Staff Member Office Files
  Counsel's Office, White House
    Fielding, Fred – General Files
      HUD – Real Estate Settlement Procedures Act Reform Final Rule (Susan Dudley Memo)
  Presidential Personnel, White House Office Of
    Bullock, Katja – Appointee Files
      Dudley, Susan E. – Acting Administrator of the Office of Information / Regulatory Affairs, Office of Management and Budget