Inventory for FOIA Request 2014-0345-F

Records Created by or Sent to David Nason, Deputy Secretary of Treasury, Related to Securities Regulation, the SEC, and Market Regulation

Extent
1 folder, approximately 4 pages / 424 assets

Access
Collection is open to all researchers. Access to George W. Bush Presidential Records is governed by the Presidential Records Act (PRA) (44 USC 2201) and the Freedom of Information Act (FOIA) (5 USC 552, as amended) and therefore records may be restricted in whole or in part in accordance with legal exemptions.

Copyright
Documents in this collection that were prepared by officials of the United States government as part of their official duties are in the public domain. Researchers are advised to consult the copyright law of the United States (Title 17, USC) which governs the making of photocopies or other reproductions of copyrighted material.

Provenance
Official records of George W. Bush’s presidency are housed at the George W. Bush Presidential Library and administered by the National Archives and Records Administration (NARA) under the provisions of the Presidential Records Act (PRA).

Processing by
Staff Archivists, 2016. Previously restricted materials are added as they are released. Last Modified: 09/18/2020

Scope and Content
The materials in FOIA 2014-0345-F are a selective, not necessarily all inclusive, body of documents responsive to the topic of the FOIA. Researchers should consult the archivist about related materials.

FOIA 2014-0345-F requested materials created by or sent to David Nason, Deputy Secretary of Treasury, related to securities regulation, the Securities and Exchange Commission (SEC), and market regulation.

This FOIA primarily contains news articles, press statements, press releases, FAQs, fact sheets, talking points, testimony, market updates, meeting agendas, and invitations, all sent to or from David Nason, related to the SEC. Many of these articles, statements, and press releases come
from the Office of Public Affairs at the Department of Treasury. Additionally, there are numerous meeting invitations and agendas for the Interagency Financial Markets Group. The textual portion of this request consists of a barcode scanning sheet and a letter regarding the Department of the Treasury’s views in the case of Stoneridge Investment Partners, LLC v. Scientific-Atlanta, Inc. and Motorola. Inc.

**System of Arrangement**
The materials in FOIA 2014-0345-F are a systematic body of documents responsive to the topic of the FOIA. Researchers should consult an archivist about related materials.

Documents responsive to this FOIA were found in these collection areas – White House Office of Records Management (WHORM) Subject Files and George W. Bush Presidential Electronic Records.

WHORM Subject Files are processed at the document level.

The WHORM Subject File compiled by the White House Office of Records Management is comprised of a series of documents assigned a letter/number combination and filed in a subject category. A complete listing of the subject categories including a detailed description of each category is available in our research room and on our website at www.georgewbushlibrary.smu.edu

The Executive Office of the President (EOP) instance of the Electronic Records Archive (ERA) contains electronic records created or received by President George W. Bush. These records are stored in Search and Access Sets (SAS) that represent their originating computer program, such as Exchange Email or the Worker and Visitor Entry System (WAVES). In addition to records captured from the White House’s electronic systems, EOP-ERA also contains records from the home and shared drives used by White House staff.

The following is a list of electronic search results lists (SRLs) and folders processed in response to FOIA 2014-0345-F:

**George W. Bush Electronic Records**
Exchange_Fr contains 105 assets.
Exchange_To contains 319 assets.

**George W. Bush Textual Records**
Box 1
Records Management, White House Office of
Subject Files – FG006-30 (Deputy Chief of Staff for Policy, Office Files)
729725