Inventory for FOIA Request 2014-0378-F

Records on Laura Unger, SEC Acting Chairman

Extent
13 folders, approximately 462 pages / 216 assets

Access
Collection is open to all researchers. Access to George W. Bush Presidential Records is governed by the Presidential Records Act (PRA) (44 USC 2201) and the Freedom of Information Act (FOIA) (5 USC 552, as amended) and therefore records may be restricted in whole or in part in accordance with legal exemptions.

Copyright
Documents in this collection that were prepared by officials of the United States government as part of their official duties are in the public domain. Researchers are advised to consult the copyright law of the United States (Title 17, USC) which governs the making of photocopies or other reproductions of copyrighted material.

Provenance
Official records of George W. Bush's presidency are housed at the George W. Bush Presidential Library and administered by the National Archives and Records Administration (NARA) under the provisions of the Presidential Records Act (PRA).

Processing by
Staff Archivists, 2017. Previously restricted materials are added as they are released. Last Modified: 07/16/2020

Scope and Content
The materials in FOIA 2014-0378-F are a selective, not necessarily all inclusive, body of documents responsive to the topic of the FOIA. Researchers should consult the archivist about related materials.

FOIA 2014-0378-F requested materials related to Laura Unger, SEC Acting Chairman from February 12, 2001 to August 3, 2001.

This FOIA primarily contains correspondence, correspondence tracking sheets, fax transmittal sheets, routine memoranda, and press releases. These materials consist of correspondence to President George W. Bush and Andy Card from individuals and organizations including meeting requests, requests for assistance, and invitations. Included are articles, press releases, and reports sent from organizations. Additionally, a 2002 report on Sudan from the U.S. Commission on International Religious Freedom is present. Also covered is correspondence with Laura Unger,

SEC Chairman, regarding the long-term lease of property, the transmittal of reports from the SEC and Securities Investor Protection Corporation, and a White House tour request. In addition, one will find routine memoranda, orders, and press releases regarding the designation of Laura Unger as Acting Chairman of the SEC and also the transmittal of her resignation from the SEC.

The electronic records in this FOIA consist of news clippings, newsletters, correspondence confirming the President's appointment of Laura Unger as Acting Chairman of the SEC, invitations to public events, summaries of upcoming Congressional activities, phone lists, talking points, excerpts of the Congressional Record related to the No Child Left Behind Act, comments from interest groups on proposed regulations, scheduling proposals for photographs with the President, routine administrative correspondence, and routine correspondence about the resignation of government officials.

System of Arrangement
The materials in FOIA 2014-0378-F are a systematic body of documents responsive to the topic of the FOIA. Researchers should consult an archivist about related materials.

Documents responsive to this FOIA were found in these collection areas – White House Office of Records Management (WHORM) Subject Files, Staff Member and Office Files, and George W. Bush Presidential Electronic Records.

WHORM Subject Files are processed at the document level.

The WHORM Subject File compiled by the White House Office of Records Management is comprised of a series of documents assigned a letter/number combination and filed in a subject category. A complete listing of the subject categories including a detailed description of each category is available in our research room and on our website at www.georgewbushlibrary.smu.edu

Staff Member and Office Files are maintained at the folder level by staff members within their individual offices and document all levels of administration activity.

Staff Member and Office Files are processed at the folder level, that is, individual documents are not selected and removed from a folder for processing. While this method maintains folder integrity, it frequently results in the incidental processing of documents that are not wholly responsive to the subject area.

The Executive Office of the President (EOP) instance of the Electronic Records Archive (ERA) contains electronic records created or received by President George W. Bush. These records are stored in Search and Access Sets (SAS) that represent their originating computer program, such as Exchange Email or the Worker and Visitor Entry System (WAVES). In addition to records captured from the White House's electronic systems, EOP-ERA also contains records from the home and shared drives used by White House staff.

The following is a list electronic search results lists (SRLs) and folders processed in response to FOIA 2014-0378-F:

George W. Bush Electronic Records
2014-0378-F_ARMS contains 186 assets.
2104-0378-F_WHO contains 30 assets.

George W. Bush Textual Records

Records Management, White House Office of

    Subject Files - CO159 (Republic of Sudan)

        528168

    Subject Files - FG006-03A (Chief of Staff)

        456952

        456954

        501000

    Subject Files - FG249 (Securities & Exchange Commission)

        453022

        488232

    Subject Files - FG250 (Securities Investor Protection Corporation)

        493055

    Subject Files - PE009 (Retirement, Federal)

        570400

Staff Member Office Files

    Chief of Staff, White House Office of

      Gottesman, Blake

        Outgoing Correspondence FG006-01: 744261

    National Economic Council

      Malmgren, K. Philippa

        SEC

    Presidential Personnel, White House Office of

      Bullock, Katja - Appointee Files - Presidential Appointments, Senate

        Unger, Laura

        Unger, Laura: Unger, Laura

    Visitors Office, White House

      White House Tour Request Files

        SEC Securities & Exchange Commission