

# FOIA Marker

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## Records Management, White House Office of

### Subject Files

Stack:	Row:	Sect.:	Shelf:	Pos.:	FRC ID:	Location or Hollinger ID:	NARA Number:	OA Number:
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Folder Title:

535172

## **Memo to the Record**

**To:** LP-GWB Archivists

**From:** Elizabeth Lanier

**Date:** 08/15/2016

**Collection:** White House Office of Records Management (ORM)

**Series:** Subject Files

**FOIA ID:** 2014-0356-F

**RE:** 535172

***Notes:***

This case file was printed from EOP ERA – White House Office of Records Management RMS because it could not be located in the textual files.

THE WHITE HOUSE  
CORRESPONDENCE TRACKING WORKSHEET

ID# 535172  
PAGE 1

DATE RECEIVED: 09/09/2002

NAME OF CORRESPONDENT: HARVEY L. PITT

SUBJECT: TRANSMITS TO THE PRESIDENT THE 2001 ANNUAL REPORT OF THE SECURITIES INVESTOR  
PROTECTION CORPORATION (SIPC)

ROUTE TO:		ACTION		DISPOSITION		
OFFICE/AGENCY	(STAFF NAME)	ACTION CODE	DATE YY/MM/DD	TYPE RESP	C D	COMPLETED YY/MM/DD
EXECUTIVE CLERK	TIM SAUNDERS	ORG	2002/09/09			
ACTION COMMENTS						
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COMMENTS CORRESPONDENCE RECEIVED IN ORM AUG 28 02

ADDITIONAL CORRESPONDENTS: 0

MEDIA: LETTER

INDIVIDUAL CODES:

REPORT CODES:

USER CODE:

**ACTION CODES:**

A - APPROPRIATE ACTION  
C - COMMENT/RECOMMENDATION  
D - DRAFT RESPONSE  
F - FURNISH FACT SHEET  
I - INFO COPY/NO ACT NECESSARY  
R - DIRECT REPLY W/ COPY  
S - FOR SIGNATURE  
X - INTERIM REPLY

**DISPOSITION CODES:**

A - ANSWERED  
B - NON-SEPC-REFERRAL  
C - COMPLETED  
S - SUSPENDED

**OUTGOING CORRESPONDENCE:**

TYPE RESP = INITIALS OF SIGNER  
CODE = A  
COMPLETED = DATE OF OUTGOING

REFER QUESTIONS AND ROUTING UPDATES TO RECORDS MANAGEMENT (ROOM 72, OEOB) EXT-62590  
KEEP THIS WORKSHEET ATTACHED TO THE ORIGINAL INCOMING LETTER AT ALL TIMES AND SEND COMPLETED RECORD TO RECORDS MANAGEMENT.

Filed by George W. Bush Library Staff

535172

OFFICE OF THE EXECUTIVE CLERK

CORRESPONDENT: Harvey L. Pitt  
Chairman

AGENCY: U.S. Securities and  
Exchange Commission

DATE OF INCOMING: 7/26/02

ROUTE TO: Tim Saunders



THE CHAIRMAN

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
WASHINGTON, D.C. 20549

July 26, 2002

The President  
The White House  
Washington, D.C. 20500

Re: Securities Investor Protection Corporation

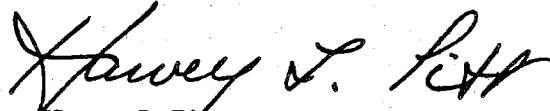
Dear Mr. President:

I am pleased to transmit to you the 2001 Annual Report of the Securities Investor Protection Corporation ("SIPC") as required by 15 U.S.C. § 78ggg.

SIPC protects securities customers of a SIPC member broker-dealer in the event of the firm's financial failure. In 2001, SIPC initiated 12 customer protection proceedings. These 12 proceedings, taken together, present only modest exposure to the SIPC fund. The SIPC fund contained approximately \$1.18 billion on December 31, 2001.

The Commission will continue to oversee SIPC to assure that the SIPC program provides adequate protection for the nation's investors. The Commission commends Acting Chairperson Branson, the other members of SIPC's Board of Directors, and SIPC's staff for their dedicated public service.

Yours truly,

  
Harvey L. Pitt

Enclosure